

### 6.1.3 From Amplification to Oscillation: Second Laser Condition

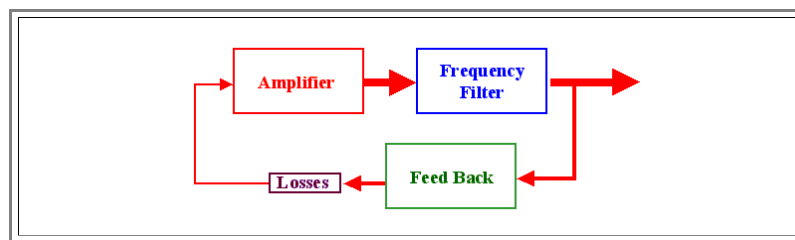
So far we have the principle of the amplification of light by stimulated emission. Making a laser in the conventional sense of the word still requires to produce a light beam with a "battery" – not with some input light.

- Since we nevertheless need at least some input light, this is the same task as to produce an *oscillator* from an amplifier in electronics, and the solution of this task is achieved along identical lines: Feed back *one* frequency from the output of the amplifier to the input and make sure it is in phase (or, as we say for light, "coherent"). Thus, we have to take . . .

#### A General Look at Feedback and Oscillations

Feeding one frequency from the output back to the input will lead to an amplification of this frequency.

- Since then also the intensity of the feedback signal increases, this one frequency will become more amplified, and so on ... – pretty soon your system is now an oscillator for the frequency chosen. That is, you feed back a large enough part of the output to account for losses that may be occurring in the feedback loop so that still sufficient amplitude is left to drive the amplifier. The essential parts are shown in the drawing:



- If you think about this, you will discover a problem. If there is enough signal at the input, the output will go up forever or until a fuse blows – there is no stability in the system
- We need some kind of servo mechanism that adjusts the amplification factor to a value where only the losses are recovered by amplification, so that a stable, preferably adjustable output amplitude is obtained.

This is clear enough for electrical signals, but how do we do this with light? Well, we do everything with mirrors:

1. The **feed back** part in general.
2. The **coherency** requirement.
3. The **selection of the frequencies**.
4. The **guidance of the light** including the "*beam shaping*" of the output.

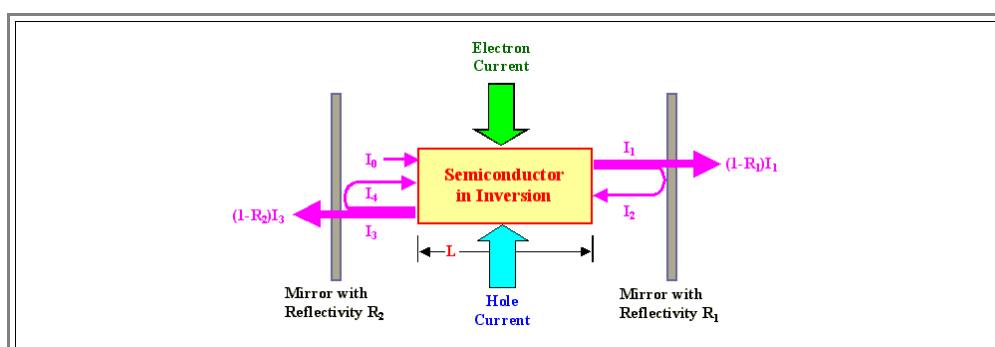
The **4th** point is new – after all, electrical signals go to wherever the wires go, but with light we have to make sure we get a *single* beam if we like to have one.

- We first look at the general principle of light feedback without worrying about the three other points (which we throw in afterwards).

#### Feedback with Mirrors

All we have to do is to put the piece of semiconductor that is supposed to amplify the light by stimulated emission between two *partially transparent* mirrors

- The whole system then looks like this.



- Let's look at this in a quantitative way. We start the analysis by feeding some light with the intensity  $I_0$  (from the left) to the semiconductor which we keep in a state of inversion by constantly supplying the necessary electrons and holes. It will be amplified along its way through the semiconductor (length  $L$ ) if we exceed the [threshold](#) for amplification and emerge with the intensity  $I_1$  on the right
- Parts of  $I_1$  will be reflected; this intensity we call  $I_2$ , it is given by

$$I_2 = I_1 \cdot R_1$$

- $R_1$  is the reflection coefficient for mirror 1. For  $R_1 = 1$  all the light will be reflected, for  $R_1 = 0$  all the light will be transmitted.
- The transmitted intensity is then simply  $I_1 \cdot (1 - R_1)$  as indicated in the drawing.

But now we have light traveling (and getting amplified) from right to left.

- It will emerge with the intensity  $I_3$ , some of it ( $I_4 = I_3 \cdot R_2$ ) will get partially reflected and run through the crystal, and so on and so on.

Eventually, we will reach a steady state with all intensities being constant. Let's see what that will be.

- First we write down all the relations for the intensity that we have, using the [formula from before](#) that links the output to the input:

$$\begin{aligned}
 I_1 &= I_0 \cdot \exp[(g - \alpha_i) \cdot z] \\
 I_2 &= I_1 \cdot R_1 \\
 I_3 &= I_2 \cdot \exp[(g - \alpha_i) \cdot z] \\
 I_4 &= I_3 \cdot R_2
 \end{aligned}$$

- We dropped some indices for ease of reading and obtain immediately for, e.g.,  $I_4$

$$I_4 = I_0 \cdot R_1 \cdot R_2 \cdot \exp[(g - \alpha_i) \cdot 2L]$$

To make life easy, we use a small trick and assume  $R_1 = R_2 = R$  (a reasonable choice automatically fulfilled if we take as partially reflecting mirrors simply the surfaces of the crystal).

- Next, because light not reflected back into the crystal is lost, we express the reflection part in terms of losses by smartly defining the quantity

$$\alpha_R := -\frac{1}{2L} \cdot \ln(R_1 \cdot R_2) = -\frac{1}{2L} \cdot \ln(R^2) = -\frac{1}{L} \cdot \ln R$$

- Since  $R < 1$ ,  $\alpha_R$  is always positive because of the minus sign. This gives us

$$R_1 \cdot R_2 = R^2 = \exp(-2 \cdot \alpha_R \cdot L) \Rightarrow \exp(-\alpha_R \cdot L) = R$$

- The losses of the external output due to the partial reflection as it would appear to an "outside" observer thus are assigned to the crystal, too, and the factor  $\frac{1}{2}$  or  $2$ , respectively, appears because the light travels *twice* through the crystal.

- This gives the final form for  $I_4$ :

$$I_4 = I_0 \cdot \exp\left([g - (\alpha_i + \alpha_R)] \cdot 2L\right)$$

What does this equation tell us? It contains two essential pieces of information:

- The condition for *starting the process*, and
- the conditions for the *stationary state*.

Let's look at this in detail:

- The requirement for *starting the process*, i.e. for starting the oscillator, is that after *one* cycle (from  $I_0$  to  $I_4$ ) we must have recovered  $I_0$ . Or, in formal words, the *starting condition* is

$$I_4 \geq I_0$$

$$[g - (\alpha_i + \alpha_R)] \cdot 2L \geq 0$$

- This then defines a **threshold value  $g_{th}$**  for the gain factor  $g$  which is given by

$$g_{th} = \alpha_i + \alpha_R = \alpha_i - \frac{1}{L} \cdot \ln(R)$$

- If the system has a gain coefficient above this value, *one* photon will be enough to start the process, and since one photon is always around, the system will then start to produce light on its own without outside help.
- Since the gain coefficient is a strong function of the *carrier density*, this also means that light production will start automatically as soon as the carrier density (= electrons in the conduction band) reaches a **threshold value  $n^{e_{th}}$** . And that density is larger than the density needed for inversion or [transparency](#).

Now to the second questions: What determines the stationary state, or how much light is actually produced? Naively, we would expect that after the start, the intensity will go up in every cycle, and if nothing changes, it will go through the roof to *infinity*.

- This, of course never happens, because it would imply that you inject an infinite amount of new carriers for stimulated emission to occur at the required rate. Clearly then, the limited supply of carriers will bring down the gain coefficient and some steady state can be expected for some specific carrier density.
- Steady state simply means that your gains are exactly identical to the losses, and this means  $I_4 = I_0$ .
- This is essentially the same equation as for the start of the process (only the ">" sign is missing) and we obtain the final result for the gain coefficient in stationary state,  $g_{stat}$ , and by inference for the carrier densities  $n^{e_{stat}}$ :

$$g_{stat} = \alpha_i - \frac{1}{L} \cdot \ln R = g_{th}$$

$$n_{stat} = n^{e_{th}}$$

While this looks deceptively simple, it provides a lot of open questions. First of all, we have only met our [first requirement from above](#) for an oscillator producing coherent light at a defined frequency; the other ones are still open. Then we might ask ourselves, exactly how the crystal manages to regulate the gain coefficient, or how the intensity evolves with time?

- Since these questions are interrelated, we first look at how requirements 2-4 can be met.

[Requirement 4](#) is easy now:

- The photons travel according to the laws of geometric optics (in a first approximation). With planar mirrors perpendicular to the  $z$ -direction, they just run back and forth.
- If we use inclined mirrors, or bent mirrors, or fibre optics, things may become complicated, but in principle we know how to treat it.
- We therefore will not worry about this point any more, but simply stick to the simple back-and-forth light path arrangement shown in the [drawing](#).

[Requirements 2 and 3](#) can be met with the same basic trick:

- Chose the (optical) distance between the mirrors to be a multiple of half of the wave length you want.* If you use external mirrors you must take into account that the wave length in air is different from that in the crystal, that's where the qualifier "optical" comes in.
- If we simply use the surfaces of the crystal as mirrors, the length between the mirrors is  $L$  = length of the crystal and the condition given above then is

$$L = \frac{m \cdot \lambda_{air}}{2n_{ref}} = \frac{m \cdot \lambda}{2} \quad m = 1, 2, 3, 4, \dots$$

With  $\lambda_{\text{air}}$  = wave length in air,  $\lambda$  = wave length in the crystal,  $n_{\text{ref}}$  = refractive index of the crystal.

If we do this, we will have a coherent beam of light travelling in **z** and **-z**-direction with a wave length  $\lambda_{\text{air}}$  that is

1. given by the equation above, and

2. lies in the wave length region where the gain factor is sufficiently large.

While the first condition would still allow many wave lengths, the second conditions normally admits just one. But why?

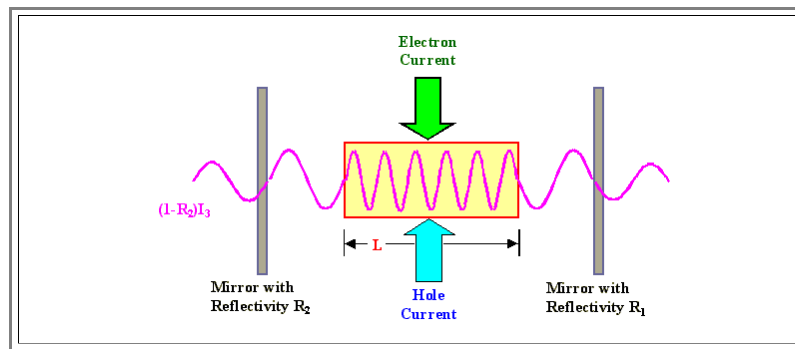
Simply because any two mirrors at any distance define a resonant structure and that is why such a system is called a **Fabry- Perot resonator** (or interferometer). Only light with wave lengths given by the **Fabry-Perot resonance condition**  $\lambda = 2nL/m$  can exist inside a "Fabry-Perot" as a standing wave.

This is best seen by looking at what would happen to light with a "wrong" wave length. Every time it travels through the system, its phase is shifted to some extent, and pretty soon you have a wave with the phase  $-\pi$  for any wave with the phase **0** and destructive interference will cancel everything except waves with phases that fit.

This is the same old principle that governs diffraction of electrons or **X-ray** beams in crystals, all musical instruments, and, if you believe Richard **Feynman**, just about everything else, too.

In other words, we already met the 2. and 3. requirement (without noticing, perhaps), but **not necessarily** at the optimal frequency which is of course the frequency with the highest gain factor  $g(\nu)$ .

Or in yet other words: While the picture of light waves travelling in and out of the crystal is not wrong, what we really have after a very short time is a **standing wave** inside the Fabry-Perot resonator with usually just **one** dominating wavelength from the multitudes possible. It looks like this



Shown is the intensity, i.e. the **square** of the amplitude (and not the amplitude as a function of time) of a standing wave with a wave length considerably smaller than the length of the crystal – as we will encounter it in reality.

The wave length is determined by the condition that  $\hbar\omega \approx E_g$ , or, with  $\omega = c/(n_{\text{ref}} \cdot \lambda)$

$$\frac{h \cdot c}{n_{\text{ref}}} \approx E_g$$

Whichever way we describe the light – by its wave length  $\lambda$ , its angular frequency  $\omega$ , or its energy  $\hbar\omega$ , we can always index these quantities now with a "g" for "gap" and know how to calculate the numerical values for, e.g.  $\omega_g$ .

Taking the requirement for the threshold gain and the admissible wave lengths together is called "**second laser condition**", i.e.

$$g_{\text{stat}} = \alpha_i + \alpha_R = \alpha_i - \frac{1}{L} \cdot \ln R = g_{\text{th}}$$

$$L = \frac{m \cdot \lambda_{\text{gair}}}{2n_{\text{ref}}} = \frac{m \cdot \lambda_g}{2}$$

Since  $g$  is a function of the wave length  $\lambda_g$  or the frequency  $\nu_g$ , respectively, and the carrier density  $n^e$  ( *do not mix it up with the refractive index  $n_{\text{ref}}$* !);  $g(\lambda, n^e)$ , we can combine both equations into

$$\alpha_i + \alpha_R = g(\nu_g, n^e_{\text{th}})$$

What do we know about the quantities in this equation?

We know that  $\alpha_i$  with its **various components** is primarily a function of the carrier density; we need its value at the **threshold density  $n^e_{\text{th}}$** .

● We can expect that the optical losses described by  $\alpha_R$  are pretty much constant, but  $g(\nu, n^e)$  is a rather [complicated function](#) defined by integrals over densities of states times Fermi distributions and the like; we thus have a complex (integral) equation for the determination of  $n^{e_{th}}$ , our only unknown parameter at this point.

▶ Computing  $n^{e_{th}}$  from the second laser condition can only be done numerically and requires good knowledge of the relevant quantities. We can get a rough estimate, however, by neglecting the frequency dependence and taking the maximum value of  $g$  at the fixed frequency,  $g^{max}$ . And for  $g^{max}$  we had the [empirical equation](#)

$$g_{\nu}^{max} = a \cdot (n^e - n^{e_T})$$

●  $n^{e_T}$  was the transparency density, and  $a$  the *differential gain factor*, a material constant.

▶ Inserting this equation for  $g(\nu, n^{e_{th}})$  in the second laser condition from above yields a kind of (approximate) master equation for semiconductor lasers

$$n^{e_{th}} = n^{e_T} + \frac{\alpha_i + \alpha_R}{a}$$

● It includes the first laser condition (which defined  $n^{e_T}$ ) in the conditions for self-induced oscillations at the "right" frequency, parts of which are released to the outside world (this is the  $\alpha_R$  part).

▶ And, of course, what we will have as soon as the carrier density that we inject in our semiconductor crystal contained within a properly spaced Fabry-Perot resonator reaches the threshold is a **LASER** in the [specific meaning discussed before](#).

● This leaves us now with the big question: How do we make a semiconductor laser? Or, for that matter, a simple [light emitting diode](#), which will turn into a laser if we put it inside a Fabry-Perot and crank up the injected carrier density "somehow".